

PTO/SB/08A (08-00)


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Substitute for Form 1449/A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<b>Complete if Known</b>	
		Applicant Number	Unassigned
		Filing Date	Herewith
		First Named Inventor	Marc BELLOTTI et al.
		Group Art Unit	Unassigned
Examiner Name	Unassigned		
Sheet 1	of 2	Attorney Docket Number	13131-0331 (44378/293531)

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
RM	1.	4,234,317		Flordeliza F. LUCAS et al.	11-18-1980	
	2.	4,399,217		Leif T. HOLMQUIST et al.	08-16-1983	
	3.	4,463,988		Larry S. BOUCK et al.	08-07-1984	
	4.	4,677,057		Linda K. Curtiss et al.	06-30-1987	
	5.	4,775,483		Sailen S. MOOKERJEA et al.	10-04-1988	
	6.	4,970,144		George FAREED et al.	11-13-1990	
	7.	5,126,240		Linda K. CURTISS	06-30-1992	
	8.	5,128,318		Daniel M. LEVINE et al.	07-07-1992	
	9.	5,401,466		Mary M. FOLTZ et al.	03-28-1995	
	10.	5,962,322		Karen KOZARSKY et al.	10-05-1999	
	11.	6,004,925		Jean-Louis DASSEUX et al.	12-21-1999	
	12.	6,037,323		Jean-Louis DASSEUX et al.	03-14-2000	
	13.	6,046,166		Jean-Louis DASSEUX et al.	04-04-2000	
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	15.	6,605,588		Ann M. LEES et al.	08-12-2003	

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Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
RM	16.	SU	1752187		SIGALOV	12/11/1990	Abstract only	

OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS					T <sup>2</sup>
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RM  ↓	17.	ASZTALOS, BELA F., et al., "Distribution of Apo A-I-Containing HDL Subpopulations in Patients with Coronary Heart Disease," Arterioscler. Thromb. Vasc. Biol., pp. 2670-2676, (December 2000).			
	18.	ASZTALOS, BELA, F., et al., "Presence and Formation of 'Free Apolipoprotein A-I-Like' Particles in Human Plasma," Arteriosclerosis, Thrombosis, and Vascular Biology, 15: pp. 1419-1423, American Heart Association, Inc., (1995).			
	19.	ASZTALOS, BELA, et al., "Role of Free Apolipoprotein A-I in Cholesterol Efflux," Arteriosclerosis, Thrombosis, and Vascular Biology, 17: pp. 1630-1636, American Heart Association, Inc., (1997).			

Examiner Signature		Date Considered	1-10-05
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

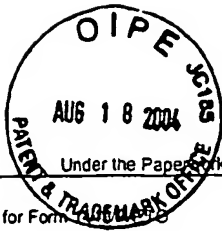
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		Group Art Unit	Unassigned
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		Attorney Docket Number	13131-0331 (44378/293531)

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	20.	BARRANS, ALAIN, et al., "Pre-β HDL: Structure and Metabolism," Biochimica et Biophysica Acta 1300, pp. 73-85, (1996).	
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	22.	HATCH, FREDERICK T., et al., "Practical Methods for Plasma Lipoprotein Analysis," Plasma Lipoprotein Analysis, Advances in Lipid Research, 6: pp. 1-68 (1968).	
	23.	JACKSON, RICHARD L., et al., "Isolation and Characterization of the Major Apolipoprotein from Chicken High Density Lipoproteins," Biochimica et Biophysica Acta, 420, pp. 342-349 (1976).	
	24.	MOYA, M. de la LLERA, et al., "A Cell Culture System for Screening Human Serum for Ability to Promote Cellular Cholesterol Efflux," Arteriosclerosis and Thrombosis, Vol. 14, No. 7, pp. 1056-1065, (July 1994).	
	25.	OKAZAKI, MITSUYO, et al., "Improved High-Performance Liquid Chromatographic Method for the Determination of Apolipoproteins in Serum High-Density Lipoproteins," Journal of Chromatography, Biomedical Applications, 430, pp. 135-142 (1988).	
	26.	ROBERN, H., "The Application of Sodium Deoxycholate and Sephacryl-200 for the Delipidation and Separation of High Density Lipoprotein," Experientia, 38, pp. 437-439 (1982).	
	27.	RUOCCO, PATERNO, R., et al., "Reconstituted High-Density Lipoprotein Exhibits Neuroprotection in Two Rat Models of Stroke," Department of Clinical and Experimental Medicine, University 'Federico II', Naples Italy, Ed Bertolas Associates, Inc.	
	28.	SCANU, A.M., et al., "Solubility in Aqueous Solutions of Ethanol of the Small Molecular Weight Peptides of the Serum Very Low Density and High Density Lipoproteins: Relevance to the Recovery Problem During Delipidation of Serum Lipoproteins," Analytical Biochemistry, 44, pp. 576-588 (1971).	
	29.	SEGREST, JERE P., et al., "A Detailed Molecular Bell Model for Apolipoprotein A-I in Discoidal High Density Lipoprotein," The Journal of Biological Chemistry, Vol. 274, No. 45, pp. 31755-31758, Issue of November 5, 1999.	
	30.	WILLIAMS, K.J., et al., "Uptake of Endogenous Cholesterol by a Synthetic Lipoprotein," Biochim. Biophys. Acta, 875(2), pp. 183-194 (February 12, 1986).	
	31.	WORMSER, HENRY, Ph.D., "Lipids," PSC 3110, Fall Semester 2002.	
	32.	ZHANG, WENWU, et al., "Characterization of Phospholipids in pre-α HDL: Selective Phospholipid Efflux with Apolipoprotein A-I," Journal of Lipid Research, Volume 39, pp. 1601-1607 (1998).	

Examiner Signature	Robert B. Merri	Date Considered	1-16-05
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Approved for use through 10/31/2002 OMB 0651-0031

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	10/796,691
Filing Date	March 8, 2004
First Named Inventor	Bellotti
Group Art Unit	1614
Examiner Name	Not yet Assigned
Attorney Docket Number	13131-0331 (44378/293531)

Sheet 1 of 9

**U.S. PATENT DOCUMENTS**

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RBM	1	3,647,624		Evenson	03-07-1972	
	2	3,958,939		Jones	05-25-1976	
	3	3,983,008		Shinozaki et al.	09-28-1976	
	4	3,989,466		Pan	11-02-1976	
	5	4,025,423		Stonner et al.	05-24-1977	
	6	4,103,685		Lupien et al.	08-01-1978	
	7	4,124,509		Iijima et al.	11-07-1978	
	8	4,235,602		Meyer et al.	11-25-1980	
	9	4,258,010		Rozsa et al.	03-24-1981	
	10	4,350,156		Malchesky et al.	09-21-1982	
	11	4,391,711		Jackson et al.	07-05-1983	
	12	4,402,940		Nose et al.	09-16-1983	
	13	4,435,289		Breslau	03-06-1984	
	14	4,481,189		Prince	11-06-1984	
	15	4,522,809		Adamowicz et al.	06-11-1985	
	16	4,540,401		Marten	09-10-1985	
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	22	4,645,512		Johns	02-24-1987	
	23	4,647,280		Maaskant et al.	03-03-1987	
	24	4,648,974		Roskopf et al.	03-10-1987	
	25	4,668,398		Silvis	05-26-1987	
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	33	4,895,558		Cham	01-23-1990	
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	38	4,935,204		Seidel et al.	06-19-1990	
	39	4,966,709		Nose et al.	10-30-1990	

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RB	40	5,026,479		Bikson et al.	06-25-1991	
	41	5,080,796		Nose et al.	01-14-1992	
	42	5,089,602		Islaker et al.	02-18-1992	
	43	5,112,956		Tang et al.	05-12-1992	
	44	5,116,307		Collins	05-26-1992	
	45	5,152,743		Gorsuch et al.	10-06-1992	
	46	5,187,010		Parham et al.	02-16-1993	
	47	5,203,778		Boehringer	04-20-1993	
	48	5,211,850		Shettigar et al.	05-18-1993	
	49	5,236,644		Parham et al.	08-17-1993	
	50	5,256,767		Salk et al.	10-26-1993	
	51	5,258,149		Parham et al.	11-02-1993	
	52	5,279,540		Davidson	01-18-1994	
	53	5,301,694		Raymond et al.	04-12-1994	
	54	5,354,262		Boehringer et al.	10-11-1994	
	55	5,391,143		Kensey	02-21-1995	
	56	5,393,429		Nakayama et al.	02-28-1995	
	57	5,401,415		Rauh et al.	03-28-1995	
	58	5,418,061		Parham et al.	05-23-1995	
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	60	5,424,068		Filip	06-13-1995	
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	64	5,523,096		Okarma et al.	06-04-1996	
	65	5,634,893		Rishton	06-03-1997	
	66	5,637,224		Sirkar et al.	06-10-1997	
	67	5,652,339		Lerch et al.	07-29-1997	
	68	5,679,260		Boos et al.	10-21-1997	
	69	5,698,432		Oxford	12-16-1997	
	70	5,707,673		Prevost et al.	01-13-1998	
	71	5,719,194		Mann et al.	02-17-1998	
	72	5,744,038		Cham	04-28-1998	
	73	5,753,227		Strahilevitz	05-19-1998	
	74	5,853,725		Salk et al.	12-29-1998	
	75	5,855,782		Falkenhagen et al.	01-05-1999	
	76	5,858,238		McRea et al.	01-12-1999	
	77	5,877,005		Castor	03-02-1999	
	78	5,885,578		Salk et al.	03-23-1999	

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Sheet	3	of	9
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## U.S. PATENT DOCUMENTS

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		First Named Inventor	Bellotti
		Group Art Unit	1614
		Examiner Name	Not yet Assigned
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